

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: <b>H04Q 7/22, H04M 11/06</b>		A1	(11) International Publication Number: <b>WO 95/25407</b>
			(43) International Publication Date: 21 September 1995 (21.09.95)
(21) International Application Number: PCT/CA95/00147			(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TT, UA, UG, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).
(22) International Filing Date: 16 March 1995 (16.03.95)			
(30) Priority Data: 08/214,125 17 March 1994 (17.03.94) US			
(71) Applicant (for all designated States except US): SIERRA WIRELESS, INC. [CA/CA]; 13151 Vanier Place #260, Richmond, British Columbia V6V 2J2 (CA).			
(72) Inventor; and (75) Inventor/Applicant (for US only): TOMS, Norman [CA/CA]; 1839 Whyte Avenue, Vancouver, British Columbia V6J 1B1 (CA).			
(74) Agents: McMAHON, Eileen et al.; Deeth, Williams, Wall, National Bank Building, Suite 400, 150 York Street, Toronto, Ontario M5H 3S5 (CA).			
(54) Title: MODEM FOR PACKET AND CIRCUIT SWITCHED COMMUNICATION			
(57) Abstract			
<p>A method and apparatus for transmitting data over a wireless communication system of either the packet data type or the circuit switched type is provided. A data source (10), such as a personal computer, is connected to a specially adapted modem (12) that is capable of formatting data for transmission over either a packet switched system, such as the CDPD system, or a circuit switched system, such as a conventional cellular telephone system. A control device (11) controls the modem (12) and a transceiver (13), and it determines which communication system is the most desirable. The desirability of the communication system may be based upon cost or quality of the connection which can be established on that particular system.</p>			

Best Available Copy

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## MODEM FOR PACKET AND CIRCUIT SWITCHED COMMUNICATION

FIELD OF THE INVENTION

5 The present invention relates generally to the transmission of data over a wireless communications network and, more specifically, the present invention relates to an apparatus and method for controlling a modem that can be used with either a wireless packet data communication system or a wireless circuit switched communications system.

BACKGROUND OF THE INVENTION

10 Packet radio communication systems are wireless communications systems for transmitting data or messages. In practice, customers typically access the network through a radio frequency access device that connects the customer's data terminal to a packet switch.

15 A new wireless packet network called cellular digital packet data (CDPD) is being developed by a consortium of companies including regional cellular operators. The CDPD network, which is different from a conventional cellular telephone system based upon circuit switching, is expected to be a major advancement in wireless wide-area communications. The proponents of the CDPD system envision the base equipment of a CDPD cell being co-located  
20 with the base station equipment of a conventional cellular telephone system.

In 1983, the first conventional cellular telephone systems, which were designed according to the Electronic Industries Association's (EIA) interim standard, were put into operation in the United States, and these early systems were known as the Advanced Mobile Phone System (AMPS). The original  
25 AMPS system is an analog system in which a plurality of base stations are connected to Mobile Telephone Switching Offices (MTSO) via land lines. The MTSO is in turn connected to the public switched telephone network (PSTN)

-2-

via land lines. The base stations transmit information using radio frequency carrier signals within a cell. Mobile stations or cellular telephones operating within a particular base station cell are able to communicate with the base station, and the base station is able to connect the cellular telephone to the  
5 PSTN.

It is known that personal computers can be equipped with a specially adapted modem to transmit data over a cellular telephone system. In practice, the personal computer is connected to the special purpose modem which, in turn, is coupled to a cellular telephone via a special connector. A personal  
10 computer may also transmit data over a CDPD network using a modem specially adapted for that network. Thus, if the owner of a personal computer wishes to communicate over both networks, the owner must own both a special purpose modem for a cellular telephone system and a special purpose modem for a CDPD network.

## 15 SUMMARY OF THE INVENTION

The present invention, generally speaking, provides a method and apparatus for transmitting data or information over either a wireless packet data system, such as a CDPD system, or transmitting data over a wireless circuit switched system, such as a conventional cellular telephone system. More  
20 particularly, the present invention provides a method and apparatus that allows data to be transferred over either a wireless packet data system, such as a CDPD system, or a wireless circuit switched system, such as a conventional cellular telephone system, using the same modem. In practice, systems according to the present invention address the combination of wireless packet  
25 circuit transmission or conventional cellular telephone transmission using the same radio.

In the preferred embodiment, the present invention includes a data source, such as a personal computer or telemetry device, to generate data. The

-3-

data from the data source is applied to a control means that controls a modem and a transceiver. The modem is especially adapted to work with either a CDPD system or a conventional cellular telephone system. The control means is able to select the most desirable system over which a link is to be  
5 established. For example, the control means could evaluate the quality of the connection on either system. The control means then establishes a communication link on one system which is determined to be the best, according to some criteria which may be pre-set, selected dynamically by the user or otherwise established.

10

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The present invention can be further understood with reference to the following description in conjunction with the appended drawings, wherein like elements are provided with the same reference numerals. In the drawings:

15

Figure 1A is a block diagram of the apparatus of the present invention;  
Figure 1B is a block diagram of another embodiment of the present invention; and

Figure 2 is flowchart of the control means of Figure 1A.

#### **DESCRIPTION OF THE PREFERRED EMBODIMENT**

In Figure 1A, a communications system includes a data source 10 which  
20 may be a personal computer, telemetry device or other means of generating data. The output from data source 10 is applied to a control means which preferably includes a suitably programmed microprocessor. The control means 11 controls a modem 12 and a transceiver 13. The modem 12 is able to adaptively transmit data over a cellular telephone infrastructure in packet  
25 switched form or in circuit switched form. In the packet switched mode, data is transmitted from the data source 10 using the modem 12 which is designed to communicate via suitably modified base station receivers and transmitters as described in, for example, the CDPD specification. In the circuit switched

-4-

mode, the same radio is used to transmit data over a conventional cellular telephone system by controlling the radio to transmit and receive necessary signalling information needed to set up or to end circuit connection to a matching modem using, for example, the AMPS protocol in North America or other standards.

The control means 11 is used to determine whether the data to be transmitted from the data source 10 should be sent in packet switched form or circuit switched form based on criteria established either in manufacturing or dynamically by the user. One criterion would be the availability of the packet switched capability in the cellular infrastructure. In the dynamic case, the decision is made by the control means 11 attempting to initiate packet switched communications or by monitoring the radio channel to determine the presence or absence of control signals or traffic patterns associated with a packet communications channel. If the control means 11 determines there is no packet switched capability, then the communications would be initiated by circuit switched means over a conventional cellular telephone system.

The control means 11 also determines whether data can be transmitted in circuit switched form. In practice, the determination is based on the availability of circuit switched capability in the cellular infrastructure which is compatible with the control means. The decision is made by the control means 11 when it attempts to set up a circuit switched connection. In one scenario, the cellular infrastructure is unable to allocate circuit switched capacity (either due to the channels being busy or due to equipment failure or due to the fact that the base station with which the modem is communicating was designed to be "packet only"), then communications would be set up on the packet switched system. In another scenario, the cellular infrastructure does not support the protocol used in the modem (e.g. AMPS), and the modem is incapable of communicating using the protocol supported by the cellular infrastructure (e.g., a digital cellular protocol). In still another scenario, ancillary software resident

-5-

in the data source 10 or in the control means 11 determines which means of transmission is best for the data to be transmitted based on the criterion of cost. The ancillary software or the control means may also determine which means of transmission is best for the data to be transmitted based on performance, such as response time, throughput or some other objective criteria.

The system according to the present invention preferably includes an error control strategy which is best for the circuit switched communication mode. This error control strategy can be embedded in the ancillary software of the data source 10 or the control means 11. For example, the CDPD specification specifies one particular form of error control for packet data. There is economy to be gained by using the same error control for circuit switched data where appropriate fixed modems are available for communications. The control means 11 preferably determines whether the fixed modem with which it is attempting to communicate over a circuit switched connection is able to interpret the CDPD error control scheme. If it is, then this would be the error control means of choice. Where it is not, an alternative scheme would be used.

Likewise, an error control strategy which is best for the circuit switched communication is preferably embedded in either the data source 10 or the control means 11. The state of the channel on which communications are occurring would be assessed based on the quality of the most recently received messages, and an adaptive scheme used in which increasing levels of error control are added to subsequent data transmissions. As an example, a high rate (i.e., one with a high ratio of data content to error control overhead, such as without loss of generalities, a rate 3/4 code) could be transmitted in the first transmission. Subsequent transmissions would use lower rate codes, (e.g., without losing generalities, rate 1/2 and 1/4) if difficulty was encountered in the transmissions. The same effect can be obtained by multiple transmissions of higher rate codes provided sufficient means is used to combine the partially

-6-

received packets. This technique often referred to as "code combining" provides the advantage of retransmission at lower rates with more efficiency, but at a cost of more receiver complexity.

In Fig. 1B there is a block diagram of an alternate embodiment of the present invention that includes an apparatus 16 having control means 11',  
5 transceiver 13, internal modem 14 and switching means 17. The data source 10 and the transceiver 13 are substantially identical to the corresponding components of Fig. 1A. Control means 11' performs functions substantially identical to control means 11 of Fig. 1A, but the control means 11' is capable  
10 of controlling the switching means 17. The switching means 17 is used to selectively couple either external modem 15 or internal modem 14 to the input of the transceiver 13. It may not be practical to include an error control scheme in the control means 11' of Fig. 1B, and therefore, the error control scheme should be implemented in either the data source 10 or external modem  
15 15.

Preferably, the internal modem 14 is a packet switched modem that is internal to apparatus 16, and the modem 15 could be a conventional circuit switched modem of the type commonly used in personal computers. The modems 14, 15 essentially perform the same modulating/demodulating functions  
20 of modem 12 of Fig. 1A. When the control means 11' determines that packet switched data is to be transmitted or received by the transceiver 13, the switching means couples the internal modem 14 to the transceiver 13. Likewise, when the control means 11' determines that circuit switched data is to be transmitted or received by the transceiver 13, the switching means couples  
25 the external modem 15 to the transceiver 13.

In Figure 2, a flow diagram illustrates a representative example of the software that could be used in the control means 11 of Fig. 1A of the present invention.



-7-

The software starts at step 20, and in step 21 a determination is made whether a packet data connection is available. In other words, a determination is made whether the apparatus of Figure 1A can gain access to a system such as a CDPD system. If access to the packet data system is available, a  
5 determination is performed in step 40 whether there is information to evaluate the cost of the connection. If there is such information, the cost of the packet switched connection is determined in step 43 and a determination is made in step 41 whether there is an ability to evaluate the quality of the connection. If there is no such information, then a determination is made in step 41 whether  
10 there is an ability to evaluate the quality of the connection. If such capability exists, the quality of the connection is determined in step 44. If there is no such capability, then a determination is made in step 42 whether there are some other criteria for evaluating the connection. If the capability exists, then the other criteria are evaluated in step 45.

15 If it is determined in step 21 that a packet data connection is not available, then the present invention makes a determination in step 24 whether a circuit switched connection is available. In other words, a determination is made whether the present invention can gain access to a conventional circuit switched system or cellular telephone system. If access to the circuit switched  
20 system is available, a determination is performed in step 50 whether there is information to evaluate the cost of the connection. If there is such information, the cost of the circuit switched connection is determined in step 26 and a determination is made in step 51 whether there is an ability to evaluate the quality of the connection. If there is no such information, then a determination  
25 is made in step 51 whether there is an ability to evaluate the quality of the connection. If such capability exists, the quality of the connection is determined in step 27. If there is no such capability, then a determination is made in step 52 whether there are some other criteria for evaluating the connection. If the capability exists, then the other criteria are evaluated in step  
30 53.

-8-

If access to a switched circuit or cellular telephone system is not available, in step 24 the control means stops and waits until it is again instructed to determine the availability of either a packet data connection or a circuit switched connection. If both a circuit switched connection and packet  
5 switched connection are available, then in step 28 the control means 11 determines which connection is best. The best connection may be a result of the cost or the quality of the connection or a combination of these two factors, or some other factor.

Once the best connection is selected, a link is established to the desired  
10 system in step 29. In step 30 a determination of an error control strategy is performed. Once the error control strategy is determined, the transmission of data can begin in step 31. During the course of data transmission the error control correction may be adaptively changed as indicated in step 32. The end  
15 of data transmission results in the performance of step 33, and the control means stops operation in step 34 of the flowchart.

The foregoing has described the principles, preferred embodiments and modes of operation of the present invention. However, the invention should not be construed as limited to the particular embodiments discussed. Instead,  
20 the above-described embodiments should be regarded as illustrative rather than restrictive, and it should be appreciated that variations may be made in those embodiments by workers skilled in the art without departing from the scope of present invention as defined by the following claims.

-9-

What is claimed is:

1. An apparatus for transmitting data from a data source over either a wireless packet data system or a wireless circuit switched system, comprising:  
first means for modulating and demodulating the data in at least a first  
5 format suitable for communication over the wireless packet data system and in a second format suitable for communication over the wireless circuit switched system;  
a transceiver, coupled to the first means, for transmitting and receiving  
the data over either the wireless packet data system or the wireless circuit  
10 switched system; and  
means for controlling the first means and the transceiver such that the data is transmitted over a desired system.
2. An apparatus according to claim 1 wherein said means for controlling includes means for error correction.
- 15 3. An apparatus according to claim 1 wherein the data source is a computer.
4. An apparatus according to claim 1 wherein the data source is a telemetry device.
5. An apparatus according to claim 1 wherein the desired system has  
20 the least connection cost.
6. An apparatus according to claim 1 wherein the desired system has the best connection quality.
7. An apparatus according to claim 1 wherein said first means includes second means for modulating and demodulating the data in at least the first

-10-

format suitable for communication over the wireless packet data system, third means for modulating and demodulating the data in the second format suitable for communication over the wireless circuit switched system, and switching means responsive to said control means for selectively coupling either the  
5 second means or the third means to the transceiver.

8. An apparatus according to claim 7 wherein said means for controlling includes means for error correction.

9. An apparatus according to claim 7 wherein the data source is a computer.

10 10. An apparatus according to claim 7 wherein the data source is a telemetry device.

11. An apparatus according to claim 7 wherein the desired system has the least connection cost.

15 12. An apparatus according to claim 7 wherein the desired system has the best connection quality.

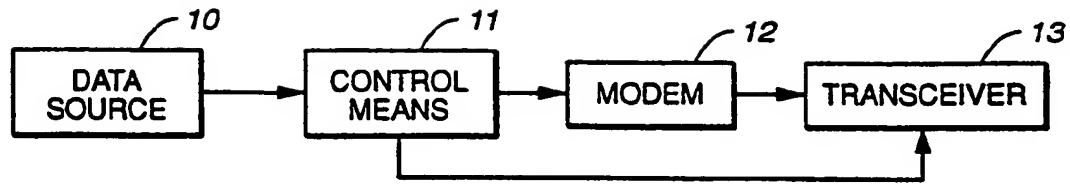
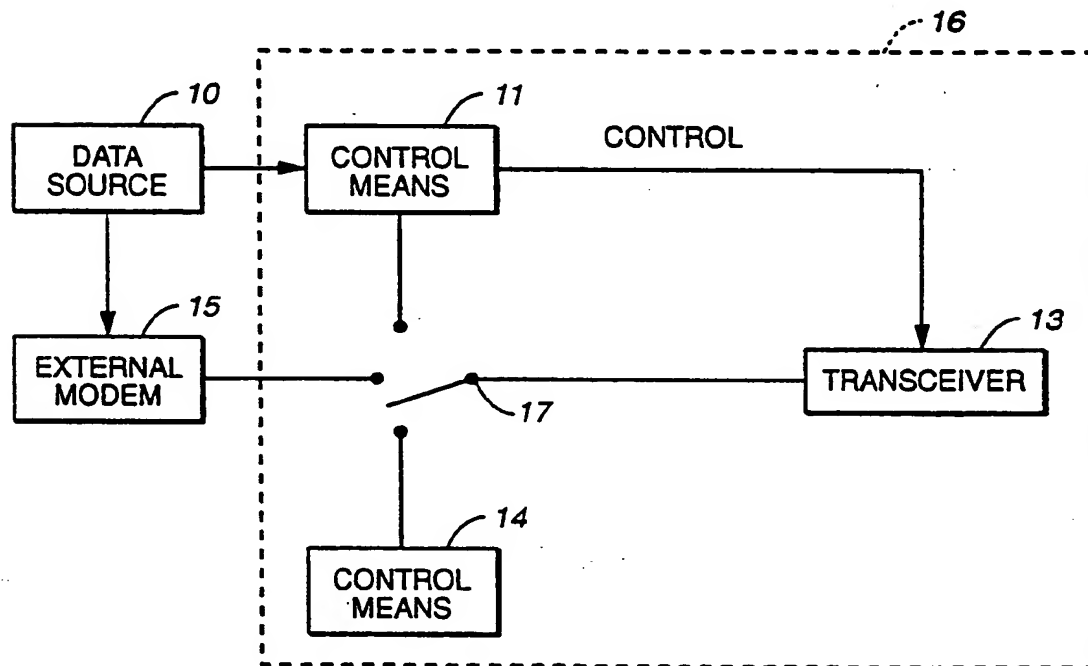
13. A method for transmitting data from a data source over either a wireless packet data system or a wireless circuit switched system, comprising:  
modulating and demodulating the data with a modem in at least a first format suitable for communication over the wireless packet data system and in a  
20 second format suitable for communication over the wireless circuit switched system;

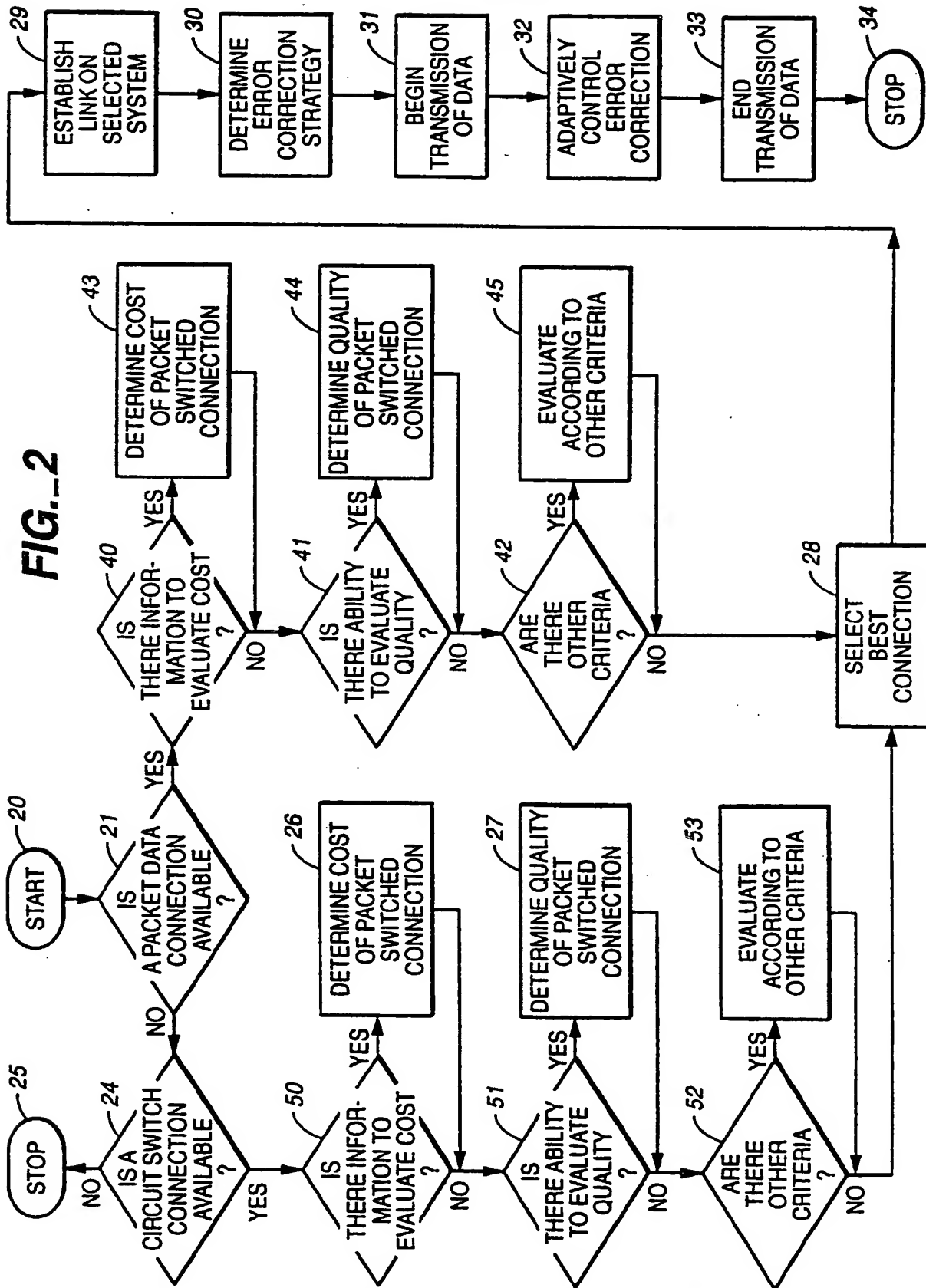
transmitting and receiving the data with a transceiver over either the wireless packet data system or the wireless circuit switched system; and  
controlling the modem and the transceiver such that the data is  
25 transmitted over a desired system.

-11-

14. A method according to claim 13 which further includes the step of error correction.
15. A method according to claim 13 wherein the control step includes determining which system has the least connection cost.
- 5      16. A method according to claim 13 wherein the control step includes determining which system has the best connection quality.

1 / 2

**FIG. 1A****FIG. 1B****SUBSTITUTE SHEET**



# SUBSTITUTE SHEET

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 95/00147

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04Q7/22 H04M11/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04Q H04M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP,A,0 332 818 (MOTOROLA, INC.) 20 September 1989 see the whole document ----	1-16
Y	CA,A,2 063 901 (NOVATEL COMMUNICATIONS, LTD.) 26 September 1992 see page 2, line 7 - page 5, line 16 ----	1-16
A	EP,A,0 566 957 (RACOTEK, INC.) 27 October 1993 see the whole document ----- -/-	1-16

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

9 June 1995

Date of mailing of the international search report

01.06.95

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Goossens, A



# INTERNATIONAL SEARCH REPORT

Patent Application No  
PCT/CA 95/00147

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DATA COMMUNICATIONS, vol.22, no.13, 21 September 1993, NEW YORK US pages 23 - 24, XP396757 MILLER 'CDPD Brightens Prospects for Wireless Data' see the whole document ----	1-16
A	WO,A,91 07044 (INTELLIGENCE TECHNOLOGY CORPORATION) 16 May 1991 see page 3, line 15 - page 5, line 7 -----	1-16

# INTERNATIONAL SEARCH REPORT

Inter. nal Application No  
PCT/CA 95/00147

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0332818	20-09-89	US-A- 4887265 JP-A- 1274524	12-12-89 02-11-89
CA-A-2063901	26-09-92	US-A- 5396539	07-03-95
EP-A-0566957	27-10-93	US-A- 5282204 AU-B- 3689393 JP-A- 6120887	25-01-94 14-10-93 28-04-94
WO-A-9107044	16-05-91	EP-A- 0450062	09-10-91

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**